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Elizabeth G. Eager

From: Elizabeth G. Eager
Sent: Friday, September 17, 2010 9:36 AM
To: 'Wise, Jr., Robert F.'; 'Aoyagi, Melissa T.'
Cc: Steven J. Rosenwasser
Subject: TASER/ Morgan Stanley Traders
Attachments: Word Document(23).pdf

Bob and Melissa,

I write regarding Morgan's identification and production of documents from traders. For clarity because there are different types of traders, this email covers all categories of trader emails.

This email is divided into three sections: (1) identification of traders; (2) time period for ESI searches; and (3) keywords for ESI searches. Further, for purposes of this email, the term "transactions" shall refer to any purchases, sales, loans, borrows, conversions, reverse conversions, options, flex options, transfers, swaps or flips.

Identification of Traders

Plaintiffs request that DBSI identify two categories of "traders": (1) proprietary traders who were involved with TASER transactions and (2) traders responsible for the accounts of certain market participants who were involved with TASER transactions.

Proprietary Traders

We request that you identify and produce ESI from proprietary traders who were involved with TASER transactions.

Traders Responsible for the Accounts of Certain Market Participants

Plaintiffs request you identify traders responsible for accounts of market participants identified in the attached document.

Time Periods

For both proprietary traders and market participant traders, we are willing to consider time period limitations for periods of de minimis trading. We do, however, need to define what de minimis trading is. Whether trading is de minimis has two components: (1) the number of trades made during a period and (2) the number of shares traded. Instead of attempting to define what de minimis trading is in a vacuum, we think it makes more sense for you first to identify the traders. Then, we will work in good faith to exclude de minimis periods.

For periods of non-de minimis trading, we ask that you search the time period from 30 days before the first trade in the time period until 30 days after the last trade in the time period.

Keywords

We propose that the following keywords be used on all traders.

- TASER
- TASR
- 87651b104
- "reg* sho" or 3370
- (borrow or fail) w/20 negative

- (without or fail*) w/5 (locat* or delive* or receiv*)
- violat*
- short w/10 (naked or abusive)
- (short or fail*) and excess or (hard w/5 account)
- Name of market participant for which trader is responsible (e.g., Atlas; Galleon; SAC) (for traders responsible for a market participant).

Thank you for your time and attention to these matters. Please let us know if you have any questions. We look forward to hearing from you

Thanks,
Liz

Morgan Stanley

1. AISLING PARTNERS LLC
2. AMARANTH LLC
3. AQR CAPITAL
AQR ABSOLUTE
AQR CAPITAL MANAGEMENT LLC
AQR CAPITAL MGMT A/C GS CAEL
4. ARGONAUT CAPITAL MANAGEMENT
ARGONAUT ASIAN EQUITIES PARTNERSHIP, LP
ARGONAUT PARTNERSHIP, L.P.
ARGONAUT INVESTMENT FUND, LTD
ARGONAUT GLOBAL EQUITIES PART- NERSHIP, LP
RICK FAGAN
JOHN TRAMMEL
ANTHONY STOCKS
5. BLACKROCK ADVISORS, LLC
BLACKROCK FINANCIAL
6. BLUE RIDGE CAPITAL LLC
BLUE RIDGE CAPITAL EXECUTION
BLUE RIDGE CAPITAL GROUP
BLUE RIDGE LIMITED PARTNERSHIP
BLUE RIDGE OFFSHORE MASTER LIMITED PARTNERSHIP
RICHARD BELLO
7. BLUE ZETA CELL
8. BOGLE INVESTMENT FUND LP
BOGLE INVESTMENT MANAGEMENT
BOGLE INVESTMENT MANAGEMENT LP
BOGLE INVESTMENT MANAGERS
BOGLE INVESTMENT MGMT
BOGLE OFFSHORE OPPORTUNITY
9. BRIDGER MANAGEMENT
BRIDGER MANAGEMENT, LLC. EXECUTION
BRIDGER MANAGEMENT, LLC.
10. CANNELL CAPITAL MANAGEMENT

11. CHILTON PARTNERS

CHILTON INVESTMENT COMPANY
CHILTON GLOBAL PARTNERS, LP
DESPINA SYMEONIDES
CHILTON INTERNATIONAL, L.P.
GREGG ROY
CHILTON SMALL CAP INTERNATIONAL, LP
CHILTON SMALL CAP LIQUIDFUND, L.P.
CHILTON SMALL CAP PARTNERS, LP

12. CRABEL CAPITAL MANAGEMENT LLC

WILLIAM (TOBY) CRABEL – FELSKE

13. COATUE MANAGEMENT

14. COUGAR TRADING, LLC

15. DYNAMIC CAPITAL MGMT

16. FOX POINT CAPITAL MANAGEMENT LLC

17. GALLEON PARTNERS LP

GALLEON CAPTAIN'S OFFSHORE, LIMITED
GALLEON CAPTAIN'S PARTNERS, LP
GALLEON MANAGEMENT LP
VOULA DIACUMAKOS
GALLEON COMMUNICATIONS PARTNERS, L.P.
GALLEON EMERGING TECHNOLOGY OFFSHORE LTD.
POLARIS PRIME TECHNOLOGY (CAYMAN) LP C/O GALLEON PARTNERS, L.P.

18. GOTHAM ASSET MANAGEMENT

GOTHAM ASSET MANAGEMENT (MASTER) L.P.
GOTHAM CAPITAL V. LLC.
GTHAM CAP V MS

19. HBK INVESTMENTS

HBK EXECUTION
HBK MASTER FUND - STATARB
HBK SERVICES LLC
HBK MASTER FUND, LP
HBK
HBK Long Sales
HBK Tracked Strategy
HBKDOM

HBK US STAT ARB
HBKSA
Mike Rangle

20. HHMI LLC

21. HIGHSIDE CAPITAL EXECUTION
HIGHSIDE CAPITAL PARTNERS, LP
HIGHSIDE OFFSHORE LTD
HIGHSIDE MANAGEMENT, LLC
HIGHSIDE CAPITAL PARTNERS II, LP
ELIZ MURRAY

22. HIGHBRIDGE CAPITAL
HIGHBRIDGE CAPITAL CORPORATION
HIGHBRIDGE CAPITAL MANAGEMENT LLC
HIGHBRIDGE CAPITAL MNGT LLC

23. HINTZ HOLMAN & ROBILLARD
HINTZ HOLMAN HECKSHER

24. HOUND PARTNERS, LLC
HOUND PARTNERS OFFSHORE FUND, LP
HOUND PARTNERS, L.P.
HOUND CAP (TIGER)
JOHNATHAN AUERBACH

25. INTREPID CAPITAL MANAGEMENT
INTREPID CAPITAL FUND (OFFSHORE) LEVERAGED MASTER, LTD.
INTREPID CAPITAL MGMT INC
INTREPID CAPITAL FUND (QP) LP
INTREPID CAPITAL FUND- OFFSHORE, LTD
INTREPID FUND MGMT, LLC

26. KINGSFORD CAPITAL PARTNERS LP
KINGSFORD INTERNATIONAL

27. KOCH INDUSTRIES

28. LANEXA MANAGEMENT LLC
LANEXA GLOBAL MANAGEMENT, LP
LANEXA GLOBAL MASTER FUND, LTD

29. LONE PINE CAPITAL

LONE PINE CAPITAL ADVISORS,
LONE PINE CAPITAL MANAGEMENT
LONE BALSAM, L.P
LONE CYPRESS, LTD
LONE KAURI, LTD.
LONE PINE CAPITAL LLC
LONE SEQUOIA, L.P.
LONE SEQUOIA, L.P.
KERRY A. TYLER
KEN SMITH

30. MAGNETAR CAPITAL
MAGNETAR CAPITAL LLC
MAGNETAR CAPITAL PARTNERS LP
MAGNETAR FINANCIAL LLC
MAGNETAR INVESTMENT MANAGEMENT LLC
MAGNETAR1
MAGNETAR2
31. MORGENS, WATERFALL, VINTIADIS & COMPANY, INC.
PHAETON BVI
HEMISPHERE MANAGEMENT LTD
MELISSA MILANO
PHOENIX PARTNERS II
PHOENIX PARTNERS L.P.
PHOENIX PARTNERS III
32. NORDEA
NORDEA BANK AB (PUBL)
NORDEA BANK FINLAND PLC
33. OXFORD ASSET MANAGEMENT
OXFORD ASSET MGMT. COMPANY LTD
OXFORD ASSET MGMT CO. LTD
34. PEQUOT CAPITAL MANAGEMENT INC.
PEQUOT PARTNERS
PEQUOT SCRS FUND, LP
PEQUOT MARINER MASTER FUND, LP
PEQUOT SCOUT FUND LP
PEQUOT SF FUND, L.P.
FOCUS 700 LTD.
PEQUOT GLOBAL MARKET NEUTRAL MASTER FUND, LTD.
LYXOR/PEQUOT GLOBAL MARKET NEUTRAL FUND LIMITED

PEQUOT NEW RIVER MASTER FUND, LTD
RAYTHEON MASTER PENSION TRUST - PEQUOT ENHANCED EAFE MN
RAYTHEON MASTER PENSION TRUST - PEQUOT GLOBAL XUS MN

35. S.A.C. CAPITAL ADVISORS
S.A.C. CAPITAL ADVISORS LLC
S.A.C. CAPITAL ASSOCIATES LLC
S.A.C. MULTICAPITAL FUND LLC
S.A.C. SELECT FUND LLC
SAC CAPITAL
SAC CAPITAL ADVISORS
SAC CAPITAL ASSOCIATES
CR INTRINSIC INVESTMENTS

36. STEADFAST CAPITAL LP

37. STEP LLC

38. THE VANGUARD GROUP, INC.
VANGUARD INDEX TRUST FUND
VANGUARD INVESTMENT CO. TOTAL STOCK MKT TRUST (125)
VANGUARD CSFB
MICHELLE MADSON
VANGUARD VIT-SMALL GAP GROWTH (861)
VANGUARD A/C INST TOTAL STOCK A/C VITSM/C3
VANGUARD INV. AUSTRALIA LTD.
EQUITIES VANGUARD INVESTMENTS AUST LTD
VNGRD EXTD MRK STOCK
VNGRD TT STK MRK STK

39. THOR TRADING

40. TIGER MANAGEMENT LLC
TIGER PARTNERS
TIGER GLOBAL LTD
TIGER GLOBAL MANAGEMENT, LLC
TIGER GLOBAL,LP EXECUTION
TIGER GLOBAL II, LP
TIGER GLOBAL MANAGEMENT LLC EXECUTION
ROBERT BASTONE
TIGER MANAGEMENT EXECUTION
TIGER TECHNOLOGY EXECUTION
TIGER GLOBAL LP
STEVE OLSON

41. TURNER INVESTMENT PARTNERS INC

42. TWO SIGMA INVESTMENTS LLC

TWO SIGMA FUND, LP

TWO SIGMA LLC

TWO SIGMA EQUITY PORTFOLIO, LLC

TWO SIGMA SPECTRUM PORTFOLIO, LLC

TWO SIGMA PARTNERS MASTER FUND, LTD.

TWO SIGMA CONSTELLATION US MASTER FUND, LTD.

TWO SIGMA JUPITER PORTFOLIO, LLC

JOHN OVERDECK

43. VIKING GLOBAL INVESTORS LP

VIKING GLOBAL EQUITIES LP

VGE III PORTFOLIO LTD

44. ZEBRA CAPITAL MANAGEMENT LLC

ZEBRA CAPITAL